

CHARACTERIZATIONS OF SEMIPERFECT AND PERFECT RINGS^(*)

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Abstract

We characterize semiperfect modules, semiperfect rings, and perfect rings using locally projective covers and generalized locally projective covers, where locally projective modules were introduced by Zimmermann-Huisgen and generalized locally projective covers are adapted from Azumaya's generalized projective covers.

Introduction

Azumaya [A2] introduced the notion of generalized projective covers to characterize semiperfect modules and rings. Adapting his concept, we call a module epimorphism $f : P \longrightarrow M$ a (*generalized*) *cover* in case $(\text{Ker}(f) \subseteq \text{Rad}(P)) \text{Ker}(f) \ll P$. A (*generalized*) *cover* $f : P \longrightarrow M$ is called a (*generalized*) *projective cover* in case P is a projective module, and it is called a (*generalized*) *locally projective cover* in case P is a locally projective module.

This paper consists of three sections. We obtain some basic properties of (*generalized*) covers in Section 1. In Section 2, we characterize (*generalized*) semiperfect modules via (*generalized*) projective covers of the (*generalized*) complements. In Section 3, we characterize semiperfect rings and modules, perfect rings, and quasi-perfect rings [CX], using (*generalized*) locally projective covers.

The terminologies and notations of Anderson and Fuller [AF] will be freely used. We refer the reader to [AF, Section 27, Section 28] for a presentation of semiperfect and perfect rings. Throughout R is an associative ring with identity whose Jacobson radical is denoted by J . Unless otherwise stated, modules are unitary left R -modules, and homomorphisms are left R -module homomorphisms. If M is a module, we recall from [AF] that $\text{Rad}(M)$ denotes the radical of M , and $(U \ll M) U \leq M$ means that U is a (superfluous) submodule of M .

(*)This research is supported by the National Natural Science Foundation of China.

1. Basic properties of (generalized) covers

If P and M are modules, we call an epimorphism $f : P \longrightarrow M$ a (generalized) cover in case $(\text{Ker}(f) \subseteq \text{Rad}(P)) \text{Ker}(f) \ll P$. Since $\text{Rad}(P)$ is the sum of all superfluous submodules of P , every cover is a generalized cover. We have the following basic properties of (generalized) covers.

Lemma 1.1. *If both $f : P \longrightarrow M$ and $g : M \longrightarrow N$ are (generalized) covers, then $gf : P \longrightarrow N$ is a (generalized) cover.*

Proof: If both f and g are covers, then gf is a cover by [AF, Proposition 5.17(1)].

Now let both f and g be generalized covers. To show $\text{Ker}(gf) \subseteq \text{Rad}(P)$, we let $p \in \text{Ker}(gf)$. Then $gf(p) = 0$ and $f(p) \subseteq \text{Ker}(g) \subseteq \text{Rad}(M)$. Since $\text{Ker}(f) \subseteq \text{Rad}(P)$, it follows from [AF, Proposition 9.15] that $f(\text{Rad}(P)) = \text{Rad}(M)$. Hence $f(p) = f(p')$ for some $p' \in \text{Rad}(P)$, so $p - p' \in \text{Ker}(f) \subseteq \text{Rad}(P)$. We obtain $p \in \text{Rad}(P)$. ■

Lemma 1.2. (1) *If each $f_i : P_i \longrightarrow M_i$ ($i = 1, 2, \dots, n$) is a cover then $\oplus_{i=1}^n f_i : \oplus_{i=1}^n P_i \longrightarrow \oplus_{i=1}^n M_i$ is a cover.*

(2) *If each $f_i : P_i \longrightarrow M_i$ ($i \in I$) is a generalized cover then $\oplus_{i \in I} f_i : \oplus_{i \in I} P_i \longrightarrow \oplus_{i \in I} M_i$ is a generalized cover.*

Proof: (1) Since each $\text{Ker}(f_i) \ll P_i$ we have $\text{Ker}(\oplus_{i=1}^n f_i) = \oplus_{i=1}^n \text{Ker}(f_i) \ll \oplus_{i=1}^n P_i$. So $\oplus_{i=1}^n f_i$ is a cover.

(2) Since each $\text{Ker}(f_i) \subseteq \text{Rad}(P_i)$ we have $\text{Ker}(\oplus_{i \in I} f_i) = \oplus_{i \in I} \text{Ker}(f_i) \subseteq \oplus_{i \in I} \text{Rad}(P_i) = \text{Rad}(\oplus_{i \in I} P_i)$. So $\oplus_{i \in I} f_i$ is a generalized cover. ■

Lemma 1.3. *Let $f : P \longrightarrow M$ be a cover. If M is finitely generated then P is also finitely generated.*

Proof: Since $P/\text{Ker}(f) \cong M$ is finitely generated, there is a finitely generated submodule P' of P such that

$$P' + \text{Ker}(f) = P.$$

Since $\text{Ker}(f) \ll P$ we have $P' = P$. ■

2. (Generalized) projective covers and M -projective covers

Let M be a module. If $U, U' \leq M$ and $M = U + U'$ then U' is called a (generalized) complement of U in case $(U \cap U' \subseteq \text{Rad}(U')) \ U \cap U' \ll U'$. Clearly, each complement is a generalized complement.

A (generalized) cover $f : P \longrightarrow M$ is called a (generalized) projective cover in case P is a projective module. Since every cover is a generalized cover, a projective cover is a generalized projective cover as observed in [A2].

A connection between (generalized) projective covers and (generalized) complements is given as follows.

Proposition 2.1. *If M is a module and $U \leq M$, then the following three statements are equivalent.*

- (1) M/U has a (generalized) projective cover.
- (2) If $V \leq M$ and $M = U + V$ then U has a (generalized) complement $U' \subseteq V$ such that U' has a (generalized) projective cover.
- (3) U has a (generalized) complement U' which has a (generalized) projective cover.

Proof: (1) $\Rightarrow$ (2). Let $f : P \longrightarrow M/U$ be a (generalized) projective cover. Since $M = U + V$,

$$\begin{array}{ccc} g : & V & \longrightarrow M/V \text{ via} \\ & v & \longmapsto v + U \end{array}$$

is an epimorphism. Since P is projective, there is a homomorphism $h : P \longrightarrow V$ such that $f = gh$. It is easy to see that $M = U + h(P)$ where $h(P) \subseteq V$. Now $(\text{Ker}(f) \subseteq \text{Rad}(P)) \ \text{Ker}(f) \ll P$, so we have

$$U \cap h(P) = h(\text{Ker}(f)) (\subseteq h(\text{Rad}(P)) \subseteq \text{Rad}(h(P))) \ll h(P)$$

and $h(P)$ is a (generalized) complement of $U \subseteq V$. Since $\text{Ker}(h) \subseteq \text{Ker}(f) (\subseteq \text{Rad}(P)) \ll P$,

$$h : P \longrightarrow h(P)$$

is a (generalized) projective cover.

(2) $\Rightarrow$ (3). This is obvious.

(3) $\Rightarrow$ (1). Let $f : P \longrightarrow U'$ be a (generalized) projective cover. Since U' is a (generalized) complement of U , the natural epimorphism

$$g : U' \longrightarrow U'/(U \cap U') \xrightarrow{h} (U + U')/U = M/U$$

is a (generalized) cover. Hence $hgf : P \longrightarrow M/U$ is a (generalized) projective cover by Lemma 1.1. ■

Let M be a module. M is called *(generalized) complemented* in case each submodule U has a (generalized) complement U' , and it is called *(generalized) amply complemented* in case $M = U + V$ implies that U has a (generalized) complement $U' \subseteq V$. According to [A2], M is called *(generalized) semiperfect* in case each factor module of M has a (generalized) projective cover. Azumaya [A2, Theorem 4] proved that M is generalized semiperfect if and only if each proper submodule of M is contained in a maximal submodule of M and each simple factor module of M has a generalized projective cover. The next different characterization of (generalized) semiperfect modules follows immediately from Proposition 2.1, where the non-parenthetical version is [F, Theorem 1]. A characterization of semiperfect modules using locally projective covers will be given in the next section.

Theorem 2.2. *The following three statements are equivalent for a module M .*

- (1) M is *(generalized) semiperfect*.
- (2) M is *(generalized) amply complemented by complements which have (generalized) projective covers*.
- (3) M is *(generalized) complemented by complements which have (generalized) projective covers*.

A (generalized) cover $f : P \longrightarrow M$ is called a *(generalized) M -projective cover* in case P is a M -projective module. Modifying the proof of Proposition 2.1, we have an analogous result.

Proposition 2.3. *If M is a module and $U \leq M$, then the following three statements are equivalent.*

- (1) M/U has a *(generalized) M -projective cover*.
- (2) If $V \leq M$ and $M = U + V$ then U has a *(generalized) complement $U' \subseteq V$ such that U' has a (generalized) M -projective cover*.
- (3) U has a *(generalized) complement U' which has a (generalized) M -projective cover*.

We call a module M *(generalized) quasi-semiperfect* in case each factor module of M has a (generalized) M -projective cover. Now we have the following result by Proposition 2.3.

Theorem 2.4. *The following three statements are equivalent for a module M .*

- (1) M is *(generalized) quasi-semiperfect*.

- (2) M is (generalized) amply complemented by complements which have (generalized) M -projective covers.
- (3) M is (generalized) complemented by complements which have (generalized) M -projective covers.

Using an idea of Azumaya's proof given in [A2, Theorem 4] we obtain

Theorem 2.5. *Let R be a semilocal ring and M a finitely generated left R -module. Then M is (generalized) quasi-semiperfect if and only if each simple factor module of M has a (generalized) M -projective cover.*

Proof: $(\Rightarrow)$. This is obvious.

$(\Leftarrow)$. Let $U \leq M$ and $\overline{M} = M/U$. Since R is semilocal and $\overline{M}$ is finitely generated, $J\overline{M} = \text{Rad}(\overline{M}) \ll \overline{M}$ and $\overline{M}/J\overline{M}$ is semisimple. Let $\overline{M}/J\overline{M} = \oplus_{i=1}^n S_i$ be a direct sum of simple submodules S_i ($i = 1, 2, \dots, n$). Since each S_i is isomorphic to a simple factor module of M , it has a (generalized) M -projective cover $f_i : P_i \rightarrow S_i$ where $(\text{Ker}(f_i) \subseteq \text{Rad}(P_i) = JP_i) \text{Ker}(f_i) \ll P_i$. Since $P_i/\text{Ker}(f_i) \cong S_i$ is simple, $\text{Ker}(f_i)$ is a maximal submodule of P and so $(\text{Ker}(f_i) = JP_i) \text{Ker}(f_i) = JP_i \ll P_i$. By Lemma 1.2,

$$f = \oplus_{i=1}^n f_i : P = \oplus_{i=1}^n P_i \rightarrow \oplus_{i=1}^n S_i = \overline{M}/J\overline{M}$$

is a (generalized) M -projective cover, where $(\text{Ker}(f) = JP) \text{Ker}(f) = JP \ll P$. Let $g : \overline{M} \rightarrow \overline{M}/J\overline{M}$ be the natural epimorphism. Since P is M -projective, there is a homomorphism $h : P \rightarrow \overline{M}$ such that $f = gh$. Now f is an epimorphism and $\text{Ker}(g) = J\overline{M} \ll \overline{M}$, it follows from [AF, Corollary 5.15] that h is an epimorphism. Since $(\text{Ker}(h) \subseteq \text{Ker}(f) = JP) \text{Ker}(h) \subseteq \text{Ker}(f) = JP \ll P$, we see that

$$h : P \rightarrow \overline{M}$$

is a (generalized) M -projective cover. Hence M is a (generalized) quasi-semiperfect module. ■

3. (Generalized) locally projective covers

A module P is called *locally projective* [Z1] in case it satisfies any of the following equivalent conditions: (a) if A and B are modules, $g : A \rightarrow B$ is an epimorphism and $f : P \rightarrow B$ is a homomorphism then for every finitely generated (cyclic) submodule P_0 of P there is a homomorphism $h : P \rightarrow A$ such that $f|_{P_0} = gh|_{P_0}$; (b) if M is a module and $f : M \rightarrow$

P is an epimorphism then for every finitely generated (cyclic) submodule P_0 of P there is a homomorphism $g : P \rightarrow M$ such that $fg|_{P_0} = 1_{P_0}$. Clearly, every finitely generated (even countably generated [A1]) locally projective module is projective. The following facts are also known and we shall freely use them without reference (for the proofs, see [Z1] and [A1]): (1) a direct sum of modules is locally projective if and only if each summand is locally projective; (2) a pure submodule of a locally projective module is locally projective; and (3) if P is a locally projective module, then (i) P is flat, (ii) $\text{Rad}(P) = JP$, and (iii) if $\text{Rad}(P) = P$ then $P = 0$.

A (generalized) cover $f : P \rightarrow M$ is called a (*generalized*) *locally projective cover* in case P is a locally projective module. Since any cover is a generalized cover, a locally projective cover is a generalized locally projective cover. According to the facts of locally projective modules and Lemmas 1.1, 1.2 and 1.3, we have the following three lemmas.

Lemma 3.1. *If $f : P \rightarrow M$ is a (generalized) locally projective cover and $g : M \rightarrow N$ is a (generalized) cover then $gf : P \rightarrow N$ is a (generalized) locally projective cover.*

Lemma 3.2. (1) *If each $f_i : P_i \rightarrow M_i$ ($i = 1, 2, \dots, n$) is a locally projective cover then $\oplus_{i=1}^n f_i : \oplus_{i=1}^n P_i \rightarrow \oplus_{i=1}^n M_i$ is a locally projective cover.*

(2) *If each $f_i : P_i \rightarrow M_i$ ($i \in I$) is a generalized locally projective cover then $\oplus_{i \in I} f_i : \oplus_{i \in I} P_i \rightarrow \oplus_{i \in I} M_i$ is a generalized locally projective cover.*

Lemma 3.3. *Let $f : P \rightarrow M$ be a locally projective cover. If M is a finitely generated module then P is a finitely generated projective module.*

The following proposition is an analogous result of [A2, Proposition 1].

Proposition 3.4. *Let $f : P \rightarrow M$ be a generalized locally projective cover. If $g : Q \rightarrow M$ is a projective cover where Q is finitely generated then there is an isomorphism $h : P \cong Q$ such that $f = gh$.*

Proof: Let $Q = \sum_{i=1}^n Rq_i$. Then $f(p_i) = g(q_i)$ for some $p_i \in P$ ($i = 1, 2, \dots, n$). Since $P_0 = \sum_{i=1}^n Rp_i$ is a finitely generated submodule of P there is a homomorphism $h : P \rightarrow Q$ such that $f|_{P_0} = gh|_{P_0}$. Now $g(q_i) = f(p_i) = gh(p_i)$, so $q_i - h(p_i) \in \text{Ker}(g)$ and we have $Q = h(P_0) + \text{Ker}(g)$. But $\text{Ker}(g) \ll Q$ we obtain $h(P_0) = Q$, so $h(P) = Q$ and h is an epimorphism. Since Q is projective, h splits. Let $K = \text{Ker}(h) \subseteq \text{Ker}(f)$ and $P = K \oplus K'$ for some $K' \leq P$. Since $f : P \rightarrow M$ is

a generalized locally projective cover, we have $\text{Ker}(f) \subseteq JP$ and then $K \subseteq JP = JK \oplus JK'$. It follows that $K = JK$. Since K is locally projective, we get $K = 0$, i.e., h is a monomorphism. Thus h is an isomorphism. ■

Recall that R is *semiperfect* if R/J is semisimple and idempotents lift modulo J . It is known that R is semiperfect if and only if every simple (finitely generated, cyclic) left R -module has a projective cover (see, e.g. [AF, Theorem 27.6]). Azumaya [A2, Theorem 3] generalized this and proved that if every simple left R -module has a generalized projective cover then R is semiperfect. Modifying his proof we generalize his theorem as follows.

Theorem 3.5. *The following three statements are equivalent for a ring R .*

- (1) *R is semiperfect.*
- (2) *Every simple left R -module has a locally projective cover.*
- (3) *Every simple left R -module has a generalized locally projective cover.*

Proof: (1) $\Rightarrow$ (2) $\Rightarrow$ (3). These are clear.

(3) $\Rightarrow$ (1). To show $\overline{R} = R/J$ is semisimple, we only need to prove each simple left $\overline{R}$ -module S is locally projective (since a simple locally projective module is projective). We regard S as a simple left R -module, so there is a generalized locally projective cover $f : P \rightarrow S$, where $\text{Ker}(f) \subseteq \text{Rad}(P) = JP$. Since $\text{Ker}(f)$ is a maximal submodule of P we must have $\text{Ker}(f) = JP$ and so $P/JP \cong S$. Since P is a locally projective R -module, P/JP is a locally projective $\overline{R}$ -module, so S is a locally projective $\overline{R}$ -module. Therefore $\overline{R}$ is semisimple.

Let ε be an idempotent of $\overline{R}$. We want to show that ε can be lifted to an idempotent of R , so we may assume that $\varepsilon \neq \overline{0}$ and $\varepsilon \neq \overline{1}$. Then both $\overline{R}\varepsilon$ and $\overline{R}(\overline{1} - \varepsilon)$ are non-zero left ideals of the semisimple ring $\overline{R}$. Let $\overline{R}\varepsilon = S_1 \oplus \cdots \oplus S_k$ and $\overline{R}(\overline{1} - \varepsilon) = S_{k+1} \oplus \cdots \oplus S_n$ be direct sums of simple left ideals S_i 's. We view S_i as a simple left R -module and let $f_i : P_i \rightarrow S_i$ be a generalized locally projective cover ($i = 1, 2, \dots, n$). Then

$$f = \oplus_{i=1}^n f_i : P = \oplus_{i=1}^n P_i \rightarrow \oplus_{i=1}^n S_i$$

is a generalized locally projective cover by Lemma 3.2. Since the natural epimorphism $g : R \rightarrow \overline{R}$ is a projective cover, by Proposition 3.4 there is an isomorphism $h : P \rightarrow R$ such that $f = gh$. Let $L = h(P_1 \oplus \cdots \oplus P_k)$ and $L' = h(P_{k+1} \oplus \cdots \oplus P_n)$. Then L and L' are left ideals of R and

$R = L \oplus L'$. Let $L = Re$ and $L' = Re'$ for some idempotents e and e' of R with $e + e' = 1$. Let $\bar{e} = g(e) \in \bar{R}$. Then

$$\begin{aligned}\bar{R}\bar{e} &= g(Re) = g(L) = gh(P_1 \oplus \cdots \oplus P_k) = f(P_1 \oplus \cdots \oplus P_k) \\ &= f_1(P_1) \oplus \cdots \oplus f_k(P_k) = S_1 \oplus \cdots \oplus S_k = \bar{R}\varepsilon.\end{aligned}$$

Similarly, if we let $\bar{e}' = g(e') \in \bar{R}$ then $\bar{R}\bar{e}' = \bar{R}(\bar{1} - \varepsilon)$. Now $\bar{1} = g(1) = g(e + e') = \bar{e} + \bar{e}'$. By [AF, Proposition 7.2] we must have $\varepsilon = \bar{e}$, i.e., ε can be lifted to the idempotent $e \in R$. ■

Corollary 3.6. *The following three statements are equivalent for a ring R .*

- (1) *R is semiperfect.*
- (2) *Every finitely generated (cyclic) left R -module has a locally projective cover.*
- (3) *Every finitely generated (cyclic) left R -module has a generalized locally projective cover.*

Next we characterize semiperfect modules using locally projective covers, but we need a lemma first.

Lemma 3.7. *If a module M has a generalized locally projective cover then (1) $\text{Rad}(M) = JM$; and (2) M has a maximal submodule if $M \neq 0$.*

Proof: Let $f : P \rightarrow M$ be a generalized locally projective cover. Then $\text{Ker}(f) \subseteq \text{Rad}(P) = JP$. By [AF, Proposition 9.15], we have $\text{Rad}(M) = f(\text{Rad}(P)) = f(JP) = J(f(P)) = JM$. If $M \neq 0$, then $P \neq 0$ and $\text{Rad}(P) \neq P$. So P has a maximal submodule U . Since $\text{Ker}(f) \subseteq \text{Rad}(P) \subseteq U$, $f(U)$ must be a maximal submodule of $f(P) = M$. ■

Proposition 3.8. *A module M is semiperfect if and only if M has a projective cover and every factor module of M has a locally projective cover.*

Proof: ($\Rightarrow$). This is obvious.

($\Leftarrow$). Let U be a proper submodule of M . Then M/U is a non-zero factor module of M . By Lemma 3.7, M/U has a maximal submodule. This means that U is contained in a maximal submodule of M . By assumption, every simple factor module of M has a locally projective cover which is a projective cover by Lemma 3.3. Hence M is semiperfect by [A2, Theorems 4 and 6]. ■

Corollary 3.9. *A projective module M is semiperfect if and only if every factor module of M has a locally projective cover.*

Recall that R is *left perfect* if every left R -module has a projective cover. An interesting characterization of left perfect rings was presented in [AF, Theorem 28.4] which was due to Bass [B]. Now we characterize left perfect rings using (generalized) locally projective covers.

Theorem 3.10. *The following three statements are equivalent for a ring R .*

- (1) R is left perfect.
- (2) Every left R -module has a locally projective cover.
- (3) Every left R -module has a generalized locally projective cover.

Proof: (1) $\Rightarrow$ (2) $\Rightarrow$ (3). These are clear.

(3) $\Rightarrow$ (1). By Theorem 3.5 or Corollary 3.6, R is semiperfect. By Lemma 3.7, every non-zero left R -module has a maximal submodule. Hence R is left perfect by [AF, Theorem 28.4]. ■

It is known that if every semisimple left R -module has a projective cover then R is left perfect. We do not know whether the condition “projective cover” can be weakened to “locally projective cover”.

Azumaya [A2, Theorem 1] showed that a flat module having a generalized projective cover is projective. An analogous result for locally projective modules is the following

Proposition 3.11. *If M is a flat module having a generalized locally projective cover then M is locally projective.*

Proof: Let $f : P \rightarrow M$ be a generalized locally projective cover and $K = \text{Ker}(f)$. Then $K \subseteq \text{Rad}(P) = JP$. By [AF, Lemma 19.18] K is a pure submodule of P , so K is also locally projective and $JK = K \cap JP \supseteq K$. We get $K = JK$, and so $K = 0$. Hence $P \cong M$ and M is locally projective. ■

As pointed out by Zimmermann-Huisgen in [Z2, p. 60], R is left perfect if and only if every flat left R -module is locally projective. Hence by Proposition 3.11 we obtain

Corollary 3.12. *A ring R is left perfect if and only if every flat left R -module has a (generalized) locally projective cover.*

Camillo and Xue [CX] called a ring R *left quasi-perfect* in case every artinian left R -module has a projective cover, and showed that the class

of left quasi-perfect rings lies strictly between that of left perfect rings and that of semiperfect rings. It was proved in [CX, Theorem 1] that a semiperfect ring R is left quasi-perfect if and only if every non-zero artinian left R -module has a maximal submodule (has finite length, is finitely generated). Now we characterize left quasi-perfect rings using (generalized) locally projective covers.

Theorem 3.13. *The following three statements are equivalent for a ring R .*

- (1) R is left quasi-perfect.
- (2) Every artinian left R -module has a locally projective cover.
- (3) Every artinian left R -module has a generalized locally projective cover.

Proof: (1) $\Rightarrow$ (2) $\Rightarrow$ (3). These are clear.

(3) $\Rightarrow$ (1). Since simple modules are artinian, R is semiperfect by Theorem 3.5. By (3) and Lemma 3.7, we see that every non-zero artinian left R -module has a maximal submodule. Hence R is left quasi-perfect by [CX, Theorem 1]. ■

A module M is called *strongly artinian* [CX2] in case every proper submodule of M has finite length. Clearly a strongly artinian module is artinian, but the converse is false. Cai and Xue [CX2, Theorem 4] proved that a semiperfect ring R is left quasi-perfect if and only if every (non-zero) strongly artinian left R -module has a projective cover (has a maximal submodule). Using these results and modifying the proof of Theorem 3.13 we have our concluding result.

Theorem 3.14. *The following three statements are equivalent for a ring R .*

- (1) R is left quasi-perfect.
- (2) Every strongly artinian left R -module has a locally projective cover.
- (3) Every strongly artinian left R -module has a generalized locally projective cover.

Acknowledgements. The author wishes to thank Professor G. Azumaya for sending him the paper [A2] which motivated the present work.

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Rebut el 2 de Maig de 1995