CATALAN JOURNAL OF LINGUISTICS

Volume 10 2011

Generative Diachronic Syntax: Word order and information structure

> Edited by Montserrat Batllori & M. Lluïsa Hernanz





DADES CATALOGRÀFIQUES RECOMANADES PEL SERVEI DE BIBLIOTEQUES DE LA UNIVERSITAT AUTÒNOMA DE BARCELONA

Catalan Journal of Linguistics

Catalan Journal of Linguistics = CatJL / Grup de Gramàtica Teòrica de la Universitat Autònoma de Barcelona; Institut Interuniversitari de Filologia Valenciana. — Núm. 1 (2002)-de Barcelona. Servei de Publicacions, 2003- . — 23 cm . — Bellaterra (Barcelona) : Universitat Autònoma

Anual. — Continuació de: Catalan Working Papers in Linguistics = ISSN 1132-256X

ISSN 1695-6885

- I. Universitat Autònoma de Barcelona. Grup de Gramàtica Teòrica
- II. Institut Interuniversitari de Filologia Valenciana
- III. CatJL
- 1. Filologia catalana Revistes
- 2. Lingüística Revistes
- 3. Català Gramàtica generativa Revistes

800.1(05)

Comitè assessor

Josep Maria Brucart Marraco

(Úniversitat Autònoma de Barcelona)

Maria Teresa Espinal Farré

(Universitat Autònoma de Barcelona)

Maria Lluïsa Hernanz Carbó

(Universitat Autònoma de Barcelona)

Sabine Iatridou

(Massachusetts Institute of Technology)

Michael Kenstowicz

(Massachusetts Institute of Technology) Joan Mascaró Altimiras

(Universitat Autònoma de Barcelona)

Gemma Rigau Oliver

(Universitat Autònoma de Barcelona) Henriette de Swart (Universiteit Utrecht) Rafaella Zanuttini (Yale University)

Consell editor

Teresa Cabré Monné

(Universitat Autònoma de Barcelona)

Maria Josep Cuenca Ordinyana

(Universitat de València)

Ángel Gallego Bartolomé

(Universitat Autònoma de Barcelona)

Anna Gavarró Algueró

(Universitat Autònoma de Barcelona)

Josep Martines Peres (Universitat d'Alacant) Manuel Pérez Saldanya (Universitat de València)

Jaume Solà Puiols

(Universitat Autònoma de Barcelona)

Redacció

Universitat Autònoma de Barcelona Departament de Filologia Catalana

08193 Bellaterra (Barcelona). Spain

Phone: +34 93 581 23 71 +34 93 581 23 72

+34 93 581 27 82

E-mail: revista.cjl@uab.cat

Disseny de la coberta

Loni Geest & Tone Høverstad

Composició

Binorama, SCP

Subscripció, administració, edició i impressió

Universitat Autònoma de Barcelona

Servei de Publicacions

08193 Bellaterra (Barcelona). Spain

Phone: +34 93 581 10 22. Fax: +34 93 581 32 39

E-mail: sp@uab.cat

http://www.uab.cat/publicacions

ISSN 1695-6885

Dipòsit legal: B-4.112-2003

Imprès a Espanya. Printed in Spain

Imprès en paper ecològic

Bases de dades en què CATALAN JOURNAL OF LINGUISTICS està referenciada

- Dialnet (Unirioja)
- Francis
- Linguistics Working Papers Directory (http://www.lingref.com/lwpd/)
- Revistes Catalanes amb Accés Obert (RACO)

CATALAN JOURNAL OF LINGUISTICS es publica sota el sistema de llicències Creative Commons segons la modalitat:



Reconeixement - NoComercial (by-nc): Es permet la generació d'obres derivades sempre que no se'n faci un ús comercial. Tampoc es pot utilitzar l'obra original amb finalitats comercials.

Catalan Journal of Linguistics

Editors

Grup de Gramàtica Teòrica de la Universitat Autònoma de Barcelona Institut Interuniversitari de Filologia Valenciana

Informació general i subscripcions

Catalan Journal of Linguistics és una revista de lingüística teòrica, publicada pel Grup de Gramàtica Teòrica de la Universitat Autònoma de Barcelona (format per lingüistes dels departaments de Filologia Catalana i de Filologia Espanyola) i per l'Institut Interuniversitari de Filologia Valenciana (que integra lingüistes de les universitats de València, d'Alacant i Jaume I de Castelló).

Catalan Journal of Linguistics publica articles d'investigació en lingüística teòrica que desenvolupin teories sobre l'estructura de les llengües particulars dins la perspectiva d'una teoria general del llenguatge humà. Els volums seran sovint monogràfics, editats per un especialista en el tema.

El preu de subscripció anual és de 18 €. Les sol·licituds de subscripció i la correspondència sobre intercanvis s'han de dirigir a les adreces especificades al final d'aquesta plana.

General information and subscriptions

CATALAN JOURNAL OF LINGUISTICS is a journal of theoretical linguistics published by the Grup de Gramàtica Teòrica de la Universitat Autònoma de Barcelona (comprising linguists from the departments of Catalan Philology and Spanish Philology) and by the Institut Interuniversitari de Filologia Valenciana (which comprises linguists from the universities of València, Alacant and Jaume I at Castelló).

Catalan Journal of Linguistics publishes research papers in theoretical linguistics developing theories on the structure of particular languages within the perspective of a general theory of the human language. Volumes will often be monographic, edited by a specialist in the topic.

The yearly subscription price is 18 €. Subscription forms and exchange correspondence should be sent to the addresses below:

Subscriptions

Universitat Autònoma de Barcelona Servei de Publicacions 08193 Bellaterra (Barcelona). Spain

Phone: +34 93 581 10 22 Fax: +34 93 581 32 39 E-mail: sp@uab.cat

Exchange

Universitat Autònoma de Barcelona Servei de Biblioteques Secció d'Intercanvi de Publicacions Plaça Cívica (edifici N) 08193 Bellaterra (Barcelona). Spain

Phone: +34 93 581 11 93 Fax: +34 93 581 32 19 E-mail: sb.intercanvi@uab.cat

Catalan Journal of Linguistics style sheet

- Text processor. Both a hard copy and an electronic version of the paper are required. The diskette has to be submitted in Word for Macintosh or PC.
- **Format.** Font 12 point Times, interline at 1.5, use default margins (2,5 cm or 1 inch). Do not insert or force (with blank lines) any page break (even if that implies that representations or sets of examples remain split).
- Heading. Left-justification. Title, with title capitalisation, in boldface. Name of the author, research center (written as a complete mail address) and e-mail address. Acknowledgements, as a footnote, called by a flying asterisk at the end of the title.
- **Abstracts.** A 150 word abstract is required. A Catalan version of the abstract should be included at the end of the article. Authors are also asked to provide the key words of the article.
- Headings of sections and paragraphs. Headings of main sections: in boldface. Headings of first order paragraphs: in italics. Headings of second order paragraphs or others: in italics with text beginning at the same line.
- Examples. Example numbers should go between brackets, left aligned (Arabic digits for examples in the text; lower case Romans for examples in footnotes). Example texts should start at the first tab. If there is more than one example within a number, lower case Roman should be used followed by a stop and a tab. Examples must be referred to as (1), (5a,b), (6b-d). (ii), (iii c-e), (iv a,b), etc.
- Glosses and translations in examples. Examples from languages other than English should be accompanied by a gloss and, if necessary, by a translation into English. Align glosses with examples word by word. Translations should be in simple quotation marks. Sentences should end with a final stop (before the ending quotation mark).
- Text. The beginning of a paragraph should be left indented, except for the first paragraph of a section. References in the text should follow this format: Chomsky (1981), Kayne (1981, 1982), Belletti and Rizzi (forthcoming), Jackendoff (p.c.). If they indicate the page number or an example: Rizzi (1990: 20), Rizzi (1990: ex. (24)).
- General remarks. No underline, no boldface except for titles, simple quotation

marks only for translations. Phonetic characters should be created with SIL-Doulos IPA. Words, phrases or sentences in a language other than English appearing in the body of the text should be followed by a translation in parentheses and simple quotation marks.

• **References.** References should be according to the following pattern, with full names of authors included.

a) Book:

Borer, Hagit (1983). *Parametric Syntax*. Dordrecht: Foris.

b) Journal article:

Harris, James (1991). «The Exponence of Gender in Spanish». *Linguistic Inquiry* 22: 27-62.

c) Book article:

Jaeggli, Osvaldo; Safir, Ken (1989). «The Null Subject Parameter and Parametric Theory». In: Jaeggli, Osvaldo; Safir, Ken (eds.). *The Null Subject* Parameter. Dordrecht: Kluwer, pp. 23-91.

d) Dissertation, manuscript, or work in preparation:

Pesetsky, David (1982). *Paths and Categories*. MIT, doctoral dissertation.

Chomsky, Noam (1994). «Categories and Transformations». MIT, unpublished manuscript.

• Publication rights. Catalan Journal of LINGUISTICS is published under the licence system Creative Commons, according to the modality «Attribution-Noncommercial (by-nc): derivative work is allowed under the condition of non making a commercial use. The original work cannot be used with commercial purposes». Therefore, everyone who sends a manuscript is explicitly accepting this publication and edition cession. In the same way, he/she is authorizing Catalan Journal of LINGUISTICS to include his/her work in a journal's issue for its distribution and sale. The cession allows Catalan Journal of Linguistics to publish the work in a maximum period of two years. With the aim of favouring the diffusion of knowledge, Catalan Journal of Linguistics joins the Open Access journal movement (DOAJ), and delivers all its contents to different repositories under this protocol; therefore, sending a manuscript to the journal also entails the explicit acceptation by its author/s of this distribution method.

Catalan Journal of Linguistics

Vol. 10, 2011, ISSN 1695-6885 http://ddd.uab.cat/record/8

Generative Diachronic Syntax: Word order and information structure

Index

- 3 Acknowledgements to reviewers.
- 9 Batllori, Montserrat; Hernanz, M. Lluïsa. Introduction. Generative Diachronic Syntax: Word order and information structure.
- 17 **Biberauer, Theresa; Kemenade, Ans van.** Subject Positions and Information-Structural Diversification in the History of English.
- 71 **Taylor, Ann; Pintzuk, Susan.** The interaction of syntactic change and information status effects in the change from OV to VO in English.
- 95 **Cruschina, Silvio.** Focalization and Word Order in Old Italo-Romance.
- 133 **Martins, Ana Maria.** Scrambling and Information Focus in Old and Contemporary Portuguese.
- 159 Sitaridou, Ioanna. Word order and information structure in Old Spanish.
- 185 Bartra-Kaufmann, Anna. Recycled Neuter Expletive Pronouns and the Nature of the Left Periphery: ell and Relatives Revisited.
- 221 **Camus Bergareche, Bruno; Pérez Saldanya, Manuel.** From Adjectives to Quantifiers. Evidence from Old and Modern Catalan.
- 247 Resums





Sumari

Catalan Journal of Linguistics = CatJL Volume 10, pp. 1-249, 2011, ISSN 1695-6885 http://ddd.uab.cat/record/8

- 3 Acknowledgements to reviewers.
- 9-15 Batllori, Montserrat (Universitat de Girona. Departament de Filologia i Comunicació); **Hernanz, M. Lluïsa** (Centre de Lingüística Teòrica; Universitat Autònoma de Barcelona. Departament de Filologia Espanyola) Introduction, Generative Diachronic Syntax: Word order and information structure. Catalan Journal of Linguistics, 2011, vol. 10, pp. 9-15.

Articles

17-69 **Biberauer**, Theresa (University of Cambridge. Department of Theoretical and Applied Linguistics); Kemenade, Ans van (Radboud Universiteit Nijmegen. Centre for Language Studies)

> Subject Positions and Information-Structural Diversification in the History of English. Catalan Journal of Linguistics, 2011, vol. 10, pp. 17-69.

> The aim of this paper is to integrate Information Structure/IS-related insights of past work on the subject system of Old English with a particular formal account of word-order variation and change in earlier English that did not take IS considerations into account. We offer a first detailed formal account of how the IS-sensitive Old English subject positions can be understood in the context of an OV system which was becoming increasingly VO, and thereafter outline subject-related developments during Middle English and Early Modern English. leading us to the present day. Against the background of these diachronic developments, our contention is that English has, in one way or another, exhibited IS-sensitive subject positions throughout its history and that, as argued by Kiss (1996), it continues to do so today.

> **Keywords:** information structure; nature of spec; TP; OV/VO word order; subject positions; word order.

71 - 94Taylor, Ann; Pintzuk, Susan (University of York. Department of Language and Linguistic Science)

> The interaction of syntactic change and information status effects in the change from OV to VO in English. Catalan Journal of Linguistics, 2011, vol. 10, pp. 71-94.

> It is well known that the position of constituents in a clause is influenced by information structure: the cross-linguistic generalization is that given information frequently comes early in the clause and new information is placed towards

6 CatJL10, 2011 Sumari

the end. In this article we investigate the relation between syntactic change and information status on alternations in Old English and Early Middle English verb-object order, OV vs. VO. Our main hypothesis is that syntactic change and the constraints of information status are independent. The analysis we present is based on 1500 AuxV and VAux clauses from seven Old English texts and three Middle English texts. We consider three independent variables that influence the position of objects within the clause: text, information status and syntactic complexity. We build a quantitative model with syntactic change over time proceeding independently of synchronic syntactic variation due to information status and syntactic complexity; we then test the model against the Old and Middle English data and also against Icelandic historical data exhibiting the same types of variation. We show that the patterns predicted by our model show up clearly in both English and Icelandic, strongly confirming our hypotheses.

Keywords: Old English; Icelandic; information structure/status; syntactic change; quantitative models.

95-132 **Cruschina, Silvio** (University of Manchester. School of Languages, Linguistics and Cultures)

Focalization and Word Order in Old Italo-Romance. *Catalan Journal of Linguistics*, 2011, vol. 10, pp. 95-132.

This paper sets out a comparison between modern and old Italo-Romance varieties with the aim of understanding the mechanisms that characterize the syntactic operations associated with the information structure of the sentence, as well as identifying their triggering factors. In particular, this study concentrates on the process of focalization and the movement operations related to it: constituent fronting and verb movement. In light of the synchronic variation found in modern Italo-Romance varieties, it is argued that most of the properties generally attributed to a V2 system found in the languages in question are instead associated with discourse-related features and functional projections. A distinction between a higher, left peripheral FocP and a lower, clause-internal FocP provides the basis for an account of both synchronic and diachronic variation, the analysis of which rests on a correlation between word order changes in diachrony, discourse-related features, and functional projections.

Keywords: Medieval Italo-Romance; Sicilian; Sardinian; synchronic variation; diachronic change; word order; focalization; contrastive focus; informational focus; functional projections.

133-158 **Martins, Ana Maria** (University of Lisbon. FLUL/CLUL) Scrambling and Information Focus in Old and Contemporary Portuguese. *Catalan Journal of Linguistics*, 2011, vol. 10, pp. 133-158.

This paper proposes that object scrambling both in Old and Contemporary Portuguese is a strategy to create appropriate information focus configurations. Essentially, it makes the rightmost constituent that would otherwise bear the neutral sentence nuclear stress escape it. In narrow information focus structures this amounts to defocusing of the scrambled constituent. In broad information focus sentences the scrambled constituent is prosodically and pragmatically demoted with respect to salience. Thus, leftward displacement of the scrambled constituent either allows another constituent to acquire discourse/informational

Sumari CatJL 10, 2011 **7**

prominence or creates a 'flat' structure in that respect. The informational import of the scrambling strategy is constant throughout the history of Portuguese. But while Old Portuguese allowed both short scrambling (i.e. adjunction to VP) and middle scrambling (i.e. raising to multiple Spec,TP), only short scrambling is a grammatical option in Contemporary European Portuguese. Hence, Old Portuguese scrambling could derive SOV sentences whereas Contemporary European Portuguese scrambling maintains the object in postverbal position. The view that scrambling may induce loss or downgrading of discourse/informational prominence as it removes constituents from the clause-final position to which such prominence is assigned is supported by cross-linguistic evidence (Taylor & Pintzuk 2010 for Old English, Pinkster 1990 and Devine & Stephens 2006 for Latin).

Keywords: Object scrambling; information structure; word order; Old Portuguese; European Portuguese.

159-184 **Sitaridou, Ioanna** (University of Cambridge. Queens' College) Word order and information structure in Old Spanish. *Catalan Journal of Linguistics*, 2011, vol. 10, pp. 159-184.

In this article it is claimed that in Old Spanish the discourse-sensitive field is exclusively the preverbal one. Focusing on object preposing, it is shown that the object can: (i) either be linked to a topic reading (England 1980, 1983; Danford 2002); or (ii) an information focus reading (cf. Cruschina and Sitaridou 2011) –the latter only available as the rightmost element in Modern Spanish (cf. Zubizarreta 1998, *inter alios*); or (iii) a contrastive focus reading; or (iv) *verum focus* reading (cf. Leonetti & Escandell-Vidal 2009). Given all these different discourse readings which are linearized as verb second syntax, the testing hypothesis is that the verb second orders are an epiphenomenon of the organisation of information structure (cf. Wanner 1989; Bossong 2003; Sitaridou 2006, 2011 *pace* Fontana 1993; Cho 1997; Danford 2002; Fernández Ordóñez 2009; Molina 2010) rather than structural as in the Germanic languages.

Keywords: Old Spanish; Topicalisation; Focus Fronting; OV; V2.

185-219 **Bartra-Kaufmann, Anna** (Universitat Autònoma de Barcelona. Centre de Lingüística Teòrica)

Recycled Neuter Expletive Pronouns and the Nature of the Left Periphery: *ell* and Relatives Revisited. *Catalan Journal of Linguistics*, 2011, vol. 10, pp. 185-219.

In this paper, we revisit two types of apparently Neuter Expletive Pronouns in Romance, namely Catalan *ell*, Spanish *ello*, EP *ele*, a.o., and the expletive neuter demonstratives *aço*, *eso*, *esto*, which have been argued in the literature to have an expressive value and to belong to the Left Periphery of the sentence. Our aim is to explore the relationship between expletive subjects and the Left Periphery in NS languages in a simple way. We base our investigations on the rich insights set up in the literature, and discuss if grammaticalisation could explain the shift from expletive subject to expressive marker. After briefly reviewing the properties of *ello/ele*, we show that it is unclear how they could fit into the current definition of grammaticalisation. We then review the common semantic properties of *ello* elements and neuter demonstratives and argue that these elements

8 CatJL10, 2011 Sumari

anchor a speech act, a predication to the external situation. We explain how this property is encoded in a framework like the one of Hale & Keyser's work. As for their syntactic properties, we claim that these elements belong to a reduced C-T area.

Keywords: expletive subjects; discourse; left periphery; pronouns; demonstratives; *ello*; acolor c.

221-245 **Camus Bergareche, Bruno** (Universidad de Castilla-La Mancha. Facultad de Letras); **Pérez Saldanya, Manuel** (Universitat de València. Facultat de Filologia)

From Adjectives to Quantifiers. Evidence from Old and Modern Catalan. *Catalan Journal of Linguistics*, 2011, vol. 10, pp. 221-245.

The history of indefinite quantifiers in Romance languages is basically the history of the development of new distributional patterns in the case of some Latin adjectives (Company 1991, 1997; Batllori 1998). This new distribution will contribute to the construction of the new subclass of Romance determiners we call Quantifiers. As explained by Zamparelli (2000), the growing structural complexity of the left margin of DetP entails the specialization of old word classes for those new positions. A Quantifier position creates thus the Quantifier word class. This is a long-term process, effects of which are clearly seen in medieval Romance for words derived from adjectives, such as MULTUS and PAUCUS, but also in later Romance adjectives such as Catalan *bastant* or even in Contemporary Catalan with the word *sufficient*, which has a similar meaning (Brucart; Rigau 2002, Camus 2005, 2008, 2009).

Keywords: Word Class; Distributional Patterns; Left Margin of DetP; Catalan Diachronic Syntax; Indefinite Quantifiers.

247-249 Resums